

# REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

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# REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

## Chapter 1 GENERAL PROVISIONS

### 1.1 General\*

1 NIPPON KAIJI KYOKAI (hereinafter referred to as “the Society”) registers the following in accordance with these Regulations:

- (1) Class of ships (including floating equipment and fixed or floating structures; hereinafter the same)
- (2) Installations of ships

2 Application for registration is to be made by the manufacturer or owner.

## **Chapter 2 CLASSIFICATION OF SHIPS**

### **2.1 Classification**

#### **2.1.1 General\***

Ships will be assigned a class and registered in the Classification Register defined in **2.1.5** when the ships have been surveyed for classification by the Society's Surveyors (hereinafter referred to as "the Surveyors") with regard to their hull and equipment, machinery, fire protection and detection, means of escape, fire extinction, electrical installations, stability and load lines in accordance with the rules for the survey and construction of ships provided separately (hereinafter referred to as "the Ship Rules") and found by the Society to be in compliance with the requirements of the Ship Rules. However, the Society may refuse the classification of ships regardless of the results of the survey in accordance with **1.4-3, Chapter 1 of the "Conditions of Service for Classification of ships and registration of installations"**.

#### **2.1.2 Classification Characters**

Class will be distinguished by the following characters (hereinafter referred to as "Classification Characters"):

- (1) **NS\***: Class of a ship, the plans of which have been approved by the Society in accordance with the Ship Rules, and which has been built while under survey for classification by the Surveyors
- (2) **NS**: Class of a ship which had not been built under the Society's survey but has been subjected to survey for classification by the Surveyors

#### **2.1.3 Class Notations\***

**1** An appropriate notation will be affixed by the Society to the Classification Characters of the ship under **2.1.1** when the following **(1)** to **(4)** are applicable:

- (1) A ship which has been approved for a limited service area – such as (*Coasting Service*), (*Smooth Water Service*), or (*River Service*)
- (2) A ship which has been approved for a particular purpose – such as (*Bulk Carrier*), (*Container Carrier*), or (*Column Stabilized Drilling Unit*)
- (3) A ship, the hull construction of which has been strengthened for a particular purpose – such as (*Class IA Ice Strengthening*)
- (4) A ship not included in **(1)** to **(3)** above that is deemed appropriate by the Society – such as having a hull constructed of *FRP*.

**2** Appropriate notation may be affixed by the Society to the Classification Characters of a ship under **2.1.1** when particular measures for protecting the marine environment, improving the working environment of the crew and other specific purposes are taken.

#### **2.1.4 Characters of Main Propulsion Machinery\***

When the ships mentioned in **2.1.1** have main propulsion machinery installed, the Characters **MNS\*** will be assigned to ships having Classification Characters **NS\*** and the Characters **MNS** will be assigned to ships having Classification Characters **NS**.

#### **2.1.5 Classification Register\***

- 1** Such items as Classification Characters, ship name, owner, purpose of the ship, gross tonnage, principal dimensions and items indicated in **2.1.3** and **2.1.4** will be registered in the Classification Register.
- 2** Such items as special construction and kind of cargo will be registered in the Classification Register as descriptive notes for a ship when deemed necessary by the Society.
- 3** The Society will make the necessary alterations to the description in the Classification Register when any change to the items registered in the Classification Register occurs.
- 4** The items in **-1** and **-2** above will be indicated in the "*REGISTER OF SHIPS*" which is published.
- 5** In case there is any change to the items indicated in the "*REGISTER OF SHIPS*" in **-4** above, the owner of the

ship is to notify the Society.

## **2.2 Maintenance of Class\***

1 A registered ship is to be subjected to the class maintenance surveys by the Surveyors, for maintenance of class, in accordance with the Ship Rules. However, consideration may be given to the intervals of the surveys, at the request of the owner under reasonable circumstances.

2 In case a registered ship is altered or converted to such an extent as to affect the items indicated in **2.1.1**, the ship is to be subjected to the survey by the Surveyors mentioned in the same section.

## **2.3 Application for Classification and Surveys\***

1 Classification will be undertaken after an application for survey for classification has been made.

2 An application for survey for classification is to be submitted by the manufacturer of the ship under **2.1.2(1)** and by the owner of the ship under **2.1.2(2)**.

3 An application for surveys for maintenance of class is to be submitted by the owner or master of the ship.

## **2.4 Survey Record**

1 The Society will issue a survey record for the ship when the survey defined in **2.1.1** and **2.2** has been completed.

2 The retention, reissuance, rewriting and return of records are to be in accordance with the following **(1)** to **(4)**.

(1) The master of a ship is to keep survey records on board the ship and present the same to the Society upon request.

(2) The owner or master of a ship is to request without delay that the Society reissue survey records when the same are lost or soiled.

(3) The owner or master of a ship is to return survey records to the Society without delay when ship classification is withdrawn under the provisions of **2.6**.

(4) The owner or master of a ship is, when a lost survey record is found after the reissuance of the same under **(2)** above, to return the found record to the Society without delay.

## **2.5 Certificate of Class Maintenance and Other Certificates**

1 The Society will issue, upon request, a Class Maintenance Certificate in respect of maintenance of class to the owner of a ship or the person having obtained his consent.

2 The Society will issue, upon request, a certificate in respect of the items registered in the Classification Register to the owner of a ship or the person having obtained his consent.

## **2.6 Termination of Class\***

1 The Society will withdraw the class and notify the same to the owner of a ship when:

(1) it is requested by the owner of the ship;

(2) the Society recognizes that the ship can no longer be used because it was scrapped, sank, etc.;

(3) the Surveyors report that the ship has not complied with the Ship Rules as regards surveys defined in **2.2** and the Society accepts the report;

(4) the ship is not subjected to the survey defined in **2.2**;

(5) survey fees are not paid; or

(6) the ships subject to **1.4-3, Chapter 1 of the “Conditions of Service for Classification of ships and registration of installations”**.

2 In the cases of **-1(4)** or **(5)** above, the Society reserves the right to suspend the class for a specific period as provided separately.

## **2.7 Reclassification**

The owner of a ship may apply for the reclassification of the ship for which its class has been withdrawn. The class of such a ship will be determined by the Society considering the present condition of the ship and the Classification

Character at the time the class was withdrawn.

## Chapter 3      REGISTRATION OF INSTALLATIONS

### 3.1      Installations Registration

#### 3.1.1      General\*

Installations indicated in (1) to (15) hereunder of the ship to be registered or registered under 2.1 will be assigned characters and registered in the Installations Register defined in 3.1.4 when the installations have been surveyed for registration by the Surveyors in accordance with the rules for the survey and construction of installations provided separately (hereinafter referred to as “the Installation Rules”) and found by the Society to be in compliance with the requirements of the Installation Rules. However, the Society may refuse the registration of installations regardless of the results of the survey in accordance with 1.4-3, Chapter 1 of the “Conditions of Service for Classification of ships and registration of installations”.

- (1) Cargo Refrigerating Installations
- (2) Cargo Handling Appliances
- (3) Marine Pollution Prevention Installations
- (4) Safety Equipment
- (5) Radio Installations
- (6) Automatic and Remote Control Systems
- (7) Navigation Bridge Systems
- (8) Diving Systems
- (9) Preventive Machinery Maintenance Systems
- (10) Integrated Fire Control Systems
- (11) Hull Monitoring System
- (12) Anti-Fouling Systems on Ships
- (13) Centralized Cargo Monitoring and Control Systems
- (14) Ballast Water Management Installations
- (15) Other installations deemed appropriate by the Society

#### 3.1.2      Installations Character(s)\*

1 The installations applicable to 3.1.1 will be distinguished by the following characters (hereinafter referred to as “Installations Character(s)”)

- (1) **RMC** and **RMC·CA**: Installations in 3.1.1(1)
- (2) **CHG**: Installations in 3.1.1(2)
- (3) **MPP**: Installations in 3.1.1(3)
- (4) **LSA**: Installations in 3.1.1(4)
- (5) **RCF**: Installations in 3.1.1(5)
- (6) **MC**, **M0**, **M0·A**, **M0·B**, **M0·C**, and **M0·D**: Installations in 3.1.1(6)
- (7) **BRS**, **BRS1**, and **BRS1A**: Installations in 3.1.1(7)
- (8) **DVS**: Installations in 3.1.1(8)
- (9) **PMM**: Installations in 3.1.1(9)
- (10) **IFC·M**, **IFC·A**, and **IFC·AM**: Installations in 3.1.1(10)
- (11) **HMS**, **HMS·R**: Installations in 3.1.1(11)
- (12) **AFS** and **AFS·C**: Installations in 3.1.1(12)
- (13) **CCM**: Installations in 3.1.1(13)
- (14) **BWM**: Installations in 3.1.1(14)
- (15) Installations in 3.1.1(15) are to be given as appropriate

2 A “\*” mark may be added to the Installations Characters if the plans of the installations have been approved by the Society in accordance with the Installation Rules and when the installations have been surveyed for registration during construction by the Surveyors.

### **3.1.3 Installations Notations**

An appropriate notation will be affixed by the Society to the Installations Characters when the installations have been registered with certain restrictions or conditions, or when the extent of those used has been determined by the Society.

### **3.1.4 Installations Register**

- 1 Such items as Installations characters, name and owner of the ship on which the installations are installed, kind of installations and Installations Notations will be registered in the Installations Register.
- 2 Such items as special construction and particulars will be registered in the Installations Register as descriptive notes for installations when deemed necessary by the Society.
- 3 The Society will make the necessary alterations to the description in the Installations Register when any change to the items registered in the Installations Register occurs.
- 4 The items in -1 and -2 above will be indicated in the “REGISTER OF SHIPS” which is published.
- 5 In case there is any change in the items indicated in the “REGISTER OF SHIPS” in -4 above, the owner of the ship on which installations are installed is to notify the Society.

### **3.2 Maintenance of Installations Registration**

- 1 Registered installations are to be subjected to surveys for maintenance of installations registration by the Surveyors, in accordance with the Installation Rules.
- 2 In case registered installations are altered or converted to such an extent as to affect its main features, the installations are to be subjected to survey by the Surveyors equivalent to the survey defined in 3.1.2-2.

### **3.3 Application for Installations Registration and Surveys\***

- 1 Installations registration will be conducted after an application for survey for installations registration is made.
- 2 An application for survey for installations registration is to be submitted by the manufacturer or the owner of the ship on which the installations are installed.
- 3 An application for surveys for maintenance of installations registration is to be submitted by the owner or master of the ship on which the installations are installed.

### **3.4 Survey Record**

- 1 The Society will issue a survey record for the installations when the survey defined in 3.1.1 and 3.2 has been completed.
- 2 The provisions in 2.4-2 also apply to the survey record.

### **3.5 Certificate of Maintenance of Installations Registration and Other Certificates**

- 1 The Society will issue, upon request, a Certificate in respect of maintenance of installations registration to the owner of the ship on which the installations are installed or the person having obtained his consent.
- 2 The Society will issue, upon request, a certificate in respect of the items registered in the Installations Register, to the owner of the ship on which the installations are installed or the person having obtained his consent.

### **3.6 Termination of Installations Registration**

- 1 The Society will withdraw the installations registration and notify the same to the owner of the ship on which the installations are installed when:
  - (1) it is requested by the owner of the ship on which the installations are installed;
  - (2) the installations are removed from the ship, or the Society recognizes that the installations can no longer be used;
  - (3) the surveyors report that the installations have not complied with the Installation Rules as regards surveys defined in 3.2 and the Society accepts the report;
  - (4) the installations are not subjected to the survey defined in 3.2;

- (5) survey fees are not paid;
- (6) the class of the ship on which the installations installed is withdrawn; or
- (7) the installations subject to **1.4-3, Chapter 1 of the “Conditions of Service for Classification of Ships and Registration of Installations”**.

**2** In case of **-1(4)** or **(5)** above, the Society reserves the right to suspend the installations registration for a specific period as provided separately.

### **3.7 Re-registration**

The owner of the ship on which the installations are installed may apply for the re-registration of the installations for which the registration has been withdrawn. The re-registration of such installations will be determined by the Society considering the present condition of the installations and Character(s) of the installations at the time the registration was withdrawn.



## **Chapter 4 CERTIFICATE OF CLASSIFICATION**

### **4.1 Certificates of Classification**

#### **4.1.1 Certificate of Classification and Provisional Certificate of Classification**

1 The Society will issue a Certificate of Classification (referred to as “Certificate” in this chapter) for a ship registered in its Classification Register in accordance with the provisions in **2.1.1**. The Society will issue a Provisional Certificate of Classification (referred to as “Provisional Certificate” in this chapter), which is valid until the issuance of a Certificate, for a ship which has been surveyed for classification and reported by the Society surveyors to be fit for classification.

2 The Society will issue a Certificate for a registered ship once a Special Survey has been completed in accordance with the provisions of **2.2-1** to the satisfaction of a Society surveyor.

3 The Society will endorse a Certificate when an Annual Survey or an Intermediate Survey has been completed to the satisfaction of a Society surveyor.

#### **4.1.2 Contents of Certificates and Provisional Certificates**

1 The following items stated in the Classification Register are to be included on Certificates of Classification and Provisional Certificates of Classification.

- (1) Information to identify a ship
- (2) Information on the validity of a certificate

2 In addition to the items specified in -1 above, the Society may issue an appendix for a certificate which contains additional information deemed appropriate by the Society.

#### **4.1.3 Validity of Certificates and Provisional Certificates \***

1 A Certificate is to be valid for a period not exceeding five (5) *years*.

2 Notwithstanding the provisions of -1 above, for ships subject to the “**Rules for the Survey and Construction of Inland Waterway Ships**”, a Certificate is to be valid for a period not exceeding six (6) *years*. The Society, however, may modify the term of validity of a Certificate after taking into account both the national regulations of flag-state administrations and the regional regulations between sovereign nations related to the inland waterways in which said ships navigate.

3 The validity of a Certificate may be extended for five (5) *months* from the date of completion of a Special Survey when a registered ship has been subjected to such a survey in accordance with the Ship Rules to the satisfaction of a Society surveyor, or may be extended for the period granted when the due date of the Special Survey has been postponed subject to the approval of the Society in accordance with the Ship Rules. However, a Certificate whose validity has been extended is to become invalid upon the issuance of a new Certificate.

4 A Provisional Certificate is valid for five (5) *months* from its date of issue. A Provisional Certificate becomes invalid upon issue of a Certificate.

5 A Certificate or Provisional Certificate becomes invalid when ship classification is withdrawn under the provisions of **2.6-1**.

#### **4.1.4 Retention, Reissuance, Rewriting and Return of Certificates and Provisional Certificates\***

1 The master of a ship is to keep a Certificate or Provisional Certificate on board the ship and present the same to the Society upon request.

2 The owner or master of a ship is to request without delay that the Society reissue a Certificate or Provisional Certificate when the same is lost or soiled.

3 The owner or master of a ship is to request without delay that the Society rewrite a Certificate or Provisional Certificate when the particulars described in the same are changed.

4 The owner or master of a ship is to return a Provisional Certificate when a Certificate has been issued under the provisions of **4.1.1-1** or five (5) *months* have passed from its date of issue. The owner or master of a ship is to return the old Certificate to the Society without delay when the Certificate has been issued under the provisions of **4.1.1-2**, or

has been reissued or rewritten under the provisions of -2 or -3 above, except when the Certificate was lost.

**5** The owner or master of a ship is to return a Certificate or Provisional Certificate to the Society without delay when ship classification is withdrawn under the provisions of **2.6-1**.

**6** The owner or master of a ship is, when a lost Certificate or Provisional Certificate is found after the reissuance of the same under -2 above, to return the found certificate to the Society without delay.

## **Chapter 5 FEES AND EXPENSES**

### **5.1 Fees**

Fees will be charged in accordance with the provisions provided separately when the following (1) to (3) are applicable:

- (1) a survey is performed in accordance with these Regulations;
- (2) a certificate (except the certificate defined in 2.5 and 3.5) is reissued or rewritten in accordance with these Regulations, or a copy of an extract of the certificate is issued; or
- (3) a certificate defined in 2.5 or 3.5 is issued or reissued.

### **5.2 Expenses**

Travelling and other expenses will be charged in accordance with the provisions provided separately when a survey is performed in accordance with these Regulations.

## **Chapter 6 MISCELLANEOUS**

### **6.1 Supply of Information\***

The owner of a ship is to furnish the Society with complete and correct information deemed necessary by the Society for maintenance of registration.

### **6.2 Disagreement\***

In case of disagreement regarding a survey performed by the surveyors in accordance with these Regulations, the applicant for survey may apply to the Society in writing within the period provided separately to perform a re-survey.

### **6.3 Modifications to the Regulations of the Flag Administration of a Ship**

Irrespective of the provisions in **Chapter 2** and **3**, where the regulations of the flag administration of a ship may affect the provisions of these two chapters, the relevant provisions of these Regulations are to be modified.

# GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

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# GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

## Chapter 1 GENERAL PROVISIONS

### 1.1 General

“Owner” referred to in the **Regulations for the Classification and Registry of Ships** includes the person duly authorized to act on behalf of the owner such as the ships’ manager.

## Chapter 2 CLASSIFICATION OF SHIPS

### 2.1 Classification

#### 2.1.1 General

“The rules for the surveys and construction of ships provided separately” referred to in **2.1.1 of the Regulations for the Classification and Registry of Ships** are the society’s technical rules given in **Table 2**, which are applicable according to the ship’s characteristics such that the Rules for the Survey and Construction of Steel Ships applies to steel ships.

Table 2 The Rules

The Rules	Rules for the Survey and Construction of Steel Ship
	Rules for the Survey and Construction of ships of Fibreglass Reinforced Plastics
	Rules for Floating Docks
	Rules for High Speed Craft
	Rules for the Survey and Construction of Passenger Ships
	Rules for the Survey and Construction of Inland Waterway Ships

#### 2.1.3 Class Notations

**1** Notations referred to in **2.1.3-1 of the Regulations for the Classification and Registry of Ships** are affixed to Classification Characters when the ship is registered and the provisions of special or additional requirements or the relaxation of conditions are applied.

**2** “A ship ... deemed appropriate by the Society” referred to in **2.1.3-1(4) of the Regulations for the Classification and Registry of Ships** means one of the following:

- (1) A ship whose main hull part is constructed of materials other than steel;
- (2) A ship whose scantlings have been approved by applying detailed structural analysis based on methods such as advanced direct calculation;
- (3) A ship which has been classified on the condition that a special scheme will be applied for the ship’s class maintenance surveys;
- (4) A ship which has been designed and built with novel design features not covered by the current Rules, and which has been classified applying special requirements;
- (5) A ship which has taken measures of corrosion prevention in accordance with specified standards; or
- (6) A ship which has taken measures of noise prevention in accordance with specified standards.

**3** The notations referred to in **2.1.3-2 of the Regulations for the Classification and Registry of Ships** are affixed to Classification Characters according to the following **(1)** and **(2)**.

- (1) Based on the applications received from owners, the notations referred to in **(a)** to **(i)** are affixed to Classification Characters for the following ships based on the application from the owner according to the Guidelines issued separately by the Society or other guidelines deemed appropriate by the Society.
  - (a) Ships which have taken particular measures for the environment in accordance with the “Environmental Guideline”: *Environmental Awareness* (abbreviated as *EA*)
  - (b) Ships maintaining an Inventory of Hazardous Materials for Ship Recycling in accordance with “Guidelines for the Inventory of Hazardous Materials”: *Inventory of Hazardous Materials* (abbreviated as *IHM*)
  - (c) Ships applying the provisions for the noise and vibration in accommodation spaces etc. contained in the “Noise and Vibration Guideline”: *Noise and Vibration Comfort* (abbreviated as *NVC*)
  - (d) Ships applying the provisions for the noise and vibration of machinery room installations contained in the “Noise and Vibration Guideline”: *Mechanical Vibration Awareness* (abbreviated as *MVA*)
  - (e) Ships installed with high voltage shore connection systems as a pollution abatement measure in ports in accordance with the “Guidelines for High Voltage Shore Connection Systems”: *High Voltage Shore*

*Connection Systems* (abbreviated as *HVSS*)

- ②
- (f) Ships which are provided with systems utilising digital technology (smart systems) in accordance with the “Guidelines for Digital Smart Ships”: *Digital Smart Ship (XX)* (abbreviated as *DSS(XX)* in which “XX” refers to the relevant smart system)
  - (g) Ships which have taken particular cyber security measures in accordance with the “Guidelines for Designing Cyber Security Onboard Ships”: *Cyber Resilience-Guideline* (abbreviated as *CyBR-G*)
  - (h) Ships which have taken particular measures for energy efficiency (Ships whose Energy Efficiency Design Index satisfies a required value calculated using a phase reduction factor which is stricter than the phase to be applied according to **3.3, Part 8 of the Rules for Marine Pollution Prevention Systems**; for ro-ro cargo ships and ro-ro passenger ships, however, this requirement only applies in cases where the required EEDI value is stricter): “*Energy Efficiency Design Index-phase X*” (abbreviated as *EEDI-pX* in which X refers to the adopted phase)
  - (i) Other ships deemed necessary by the Society to be affixed with special notation.
- (2) The notations referred to in (a) and (b) below are affixed to Classification Characters for the following ships according to **1.1.3 of Part 8 of the Rules for Marine Pollution Prevention Systems**.
- (a) Ships installed with diesel engines satisfying the maximum allowable NOx emission limits criteria specified in **2.1.2-1(1)(c) of Part 8 of the Rules for Marine Pollution Prevention Systems** as an emission control measure and which are permitted to operate in NOx emission control areas: “*Nitrogen Oxides Emission-Tier III*” (abbreviated as “*NOx-III*”).  
For ships using selective catalytic reduction systems, exhaust gas recirculation systems, dual fuel engines or gas-only engines to satisfy the maximum allowable NOx emission limits criteria specified in **2.1.2-1(1)(c) of Part 8 of the Rules for Marine Pollution Prevention Systems**, the notations referred to in i) to iv) below are listed in parentheses after *NOx-III* according to the installed equipment/engine. The purposes of engines fitted with the systems referred to in i) and ii) below and the engines referred to in iii) and iv) below are to be entered in the Classification Register as descriptive notes for the ship.
    - i) Ships using selective catalytic reduction systems:  
*Selective Catalytic Reduction* (abbreviated as “*SCR*”)
    - ii) Ships using exhaust gas recirculation systems:  
*Exhaust Gas Recirculation* (abbreviated as “*EGR*”)
    - iii) Ships using dual fuel engines:  
*Dual Fuel Engine* (abbreviated as “*DFE*”)
    - iv) Ships using gas-only engines:  
*Gas-only Engine* (abbreviated as “*GOE*”)
  - (b) “*Sulphur Oxides*” (abbreviated as “*SOx*”) is to be affixed to the classification characters of ships provided with the following (1) and/or (2) that comply with the requirements related to sulphur content specified in -1 or -2 of **1.2.2, Part 8 of the Rules for Marine Pollution Prevention Systems** or that are compliance methods at least equivalent to those complying with such requirements. The notations referred to in i) and ii) below are listed in parentheses after *SOx* according to the provided arrangement/system. Details of the fuel referred to in i) below as well as the purposes of machinery using the fuel referred to in i) below and machinery fitted with the systems referred to in ii) below are to be entered in the Classification Register as descriptive notes for the ship.
    - i) Arrangements for using the low-flashpoint fuel referred to in **2.2.1-28, Part GF of the Rules for the Survey and Construction of Steel Ships**:  
“*Low-Flashpoint Fuel*” (abbreviated as “*LFF*”)
    - ii) Exhaust gas cleaning systems approved by the Administration as an alternative specified in **1.1.3, Part 8 of the Rules for Marine Pollution Prevention Systems**:  
“*Exhaust Gas Cleaning System*” (abbreviated as “*EGCS*”)

#### 2.1.4 Characters of Main Propulsion Machinery

Based on applications received from owners, the notations referred to in **2.1.4-2 of the Regulations for the Classification and Registry of Ships** are to be affixed to Classification Characters.



### 2.1.5 Classification Register

Descriptive notes are distinct from the Class notations, and are provided solely as detailed information about the ship.

## 2.2 Maintenance of Class

1 The alterations or conversions referred to in **2.2-2 of the Regulations for the Classification and Registry of Ships** are those such as jumboizing the hull or conversion of the main engine.

2 “Reasonable circumstances” mentioned in **2.2-1 of the Regulations for the Classification and Registry of Ships** are the circumstances where the survey cannot be carried out by the specified interval due to unavoidable forces such as encountering severe weather and suffering casualties.

3 With respect to the provision of **2.2 of the Regulations for the Classification and Registry of Ships**, requirements regarding the notation specified in **2.1.3-2** (excluding the notations referred to in (a) and (b) of **2.1.3-3(2)**) are not conditions for maintenance of class. However, the maintenance of notation is to be according to the following:

- ②
- (1) For maintenance of the notations specified in **(1)(a) to (g) of 2.1.3-3**, compliance with relevant requirements is to be periodically confirmed according to the corresponding guidelines.
  - (2) For maintenance of the notations specified in **2.1.3-3(1)(h)**, compliance with relevant requirements is to be periodically confirmed according to **1.3.2-2, Part 2 of the Rules for Marine Pollution Prevention Systems**.
  - (3) For maintenance of the notations specified in **2.1.3-3(1)(i)**, compliance with relevant requirements is to be periodically confirmed according to the guidelines deemed appropriate by the Society.

4 With respect to the provision of **2.2 of the Regulations for the Classification and Registry of Ships**, requirements regarding the notation specified in **2.1.4-2** are not conditions for maintenance of class.

5 With respect to the provisions of **2.2 of the Regulations for the Classification and Registry of Ships**, requirements regarding installations registration specified in **3.1.1 of the Regulations for the Classification and Registry of Ships** are not conditions for maintenance of class.

## 2.3 Application for Classification and Surveys

1 Application for survey for Classification is to be made by submitting “APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES DURING CONSTRUCTION” for ships that come under **2.1.2(1) of the Regulations for the Classification and Registry of Ships**, and “APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES FOR AN EXISTING SHIP” for ships that come under **2.1.2(2) of the Regulations for the Classification and Registry of Ships** to the Society’s local office which will undertake the survey.

2 Request for survey for maintenance of class is to be made by the owner or master of the ship by submitting “APPLICATION FOR SURVEYS AND ISSUE OF CERTIFICATES” to the Society’s local office which will undertake the survey.

## 2.6 Termination of Class

With respect to the provision of **2.6-2 of the Regulations for the Classification and Registry of Ships**, “a specific period” does not exceed six (6) *months* in the case of **2.6-1(4)**. However, a longer suspended period may be granted when a ship is not trading as in cases of lay-up, awaiting disposition in case of a casualty or attendance for reinstatement.

## Chapter 3 REGISTRATION OF INSTALLATIONS

### 3.1 Installations Registration

#### 3.1.1 General

“The rules for the survey and construction of installations provided separately” referred to in **3.1.1 of the Regulations** are the Society’s technical rules given in **Table 1** of this Guidance.

#### 3.1.2 Installations Character(s)

The “\*” mark referred to in **3.1.2-2 of the Regulations** is only applicable to Cargo Refrigerating Installations.

### 3.3 Application for Installations Registration and Surveys

**1** The provision of **2.3-1** is applicable to the application for survey for installations registration. The application forms are the “APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES DURING CONSTRUCTION” and “APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES FOR AN EXISTING SHIP” forms specified by the Society.

**2** The provision of **2.3-2** is applicable to the application for survey for maintenance of installations registration. The application form is the “APPLICATION FOR SURVEYS AND ISSUE OF CERTIFICATES” form specified by the Society.

Table 1 Rules for the Survey and Construction of Installations

Name of Installations	Name of Rules
Cargo Refrigerating Installations	<b>Rules for Cargo Refrigerating Installations</b>
Cargo Handling Appliances	<b>Rules for Cargo Handling Appliances</b>
Marine Pollution Prevention Installations	<b>Rules for Marine Pollution Prevention Systems</b>
Safety Equipment	<b>Rules for Safety Equipment</b>
Radio Installations	<b>Rules for Radio Installations</b>
Automatic and Remote Control Systems	<b>Rules for Automatic and Remote Control Systems</b>
Navigation Bridge Systems	<b>Rules for Navigation Bridge Systems</b>
Diving Systems	<b>Rules for Diving Systems</b>
Preventive Machinery Maintenance Systems	<b>Rules for Preventive Machinery Maintenance Systems</b>
Integrated Fire Control Systems	<b>Rules for Integrated Fire Control Systems</b>
Hull Monitoring Systems	<b>Rules for Hull Monitoring Systems</b>
Anti-Fouling Systems on Ships	<b>Rules for Anti-Fouling Systems on Ships</b>
Centralized Cargo Monitoring and Control Systems	<b>Rules for Centralized Cargo Monitoring and Control Systems</b>
Ballast Water Management Installations	<b>Rules for Ballast Water Management Installations</b>

## Chapter 4 CERTIFICATE OF CLASSIFICATION

### 4.1 Certificates of Classification

#### 4.1.3 Validity of Certificates and Provisional Certificates

1 A Certificate is to be valid from its date of issue to a date not exceeding the term of validity specified in **4.1.3-1** or **-2 of the Regulations for the Classification and Registry of Ships** from the completion date of the Classification Survey or a Special Survey.

2 Notwithstanding **-1**, when a Special Survey is completed within three (3) *months* before the expiry date of the existing Certificate, the new Certificate is to be valid from its date of issue to a date not exceeding the term of validity specified in **4.1.3-1** or **-2 of the Regulations for the Classification and Registry of Ships** from the date of expiry of the existing Certificate.

3 Notwithstanding **-1**, when a Special Survey is completed after the expiry date of the existing Certificate, the new Certificate is to be valid from its date of issue to a date not exceeding the term of validity specified in **4.1.3-1** or **-2 of the Regulations for the Classification and Registry of Ships** from the date of expiry of the existing Certificate. Exceptions to this provision may be allowed at the discretion of the Society in special cases, such as when the ship cannot be put into service for a long period of time due to lay-up, modifications or repairs.

4 The validity for new Certificates issued to ships re-entering service after being out of service for long periods of time due to lay-up, modifications or repairs is to be as follows in cases where a Special Survey is required prior to re-entering service:

- (1) Where an overdue Special Survey is carried out, the new Certificate is to be valid from its date of issue to a date not exceeding the term of validity specified in **4.1.3-1** or **-2 of the Regulations for the Classification and Registry of Ships** from the date of expiry of the previous Certificate.
- (2) Where the next due Special Survey is carried out, the new Certificate is to be valid from its date of issue to a date not exceeding the term of validity specified in **4.1.3-1** or **-2 of the Regulations for the Classification and Registry of Ships** from the date of completion of the Special Survey.

#### 4.1.4 Retention, Reissuance, Rewriting and Return of Certificates and Provisional Certificates

1 Request for reissue or rewriting of a Certificate of Classification or Provisional Certificate of Classification is to be made in writing to the Society's local office or to the Head Office.

## Chapter 6 MISCELLANEOUS

### 6.1 Supply of Information

Information referred to in **6.1 of the Regulations for the Classification and Registry of Ships** is to be supplied to the Society for the purpose of maintaining the registration of class and installations up-to-date at all times when:

- (1) Requested by the Society
- (2) Deemed necessary by owner or master of a ship

### 6.2 Disagreement

The “period provided separately” referred to in **6.2 of the Regulations for the Classification and Registry of Ships** is within thirty (30) *days* counted from the day after the survey is completed.

## **MAJOR CHANGES AND EFFECTIVE DATES**

Amendments to the GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS made between 1 January 2020 and 31 December 2021 and their effective dates are as follows:

### **I AMENDMENTS ON 30 JUNE 2021 (Notice No.27)**

**Chapter 2** Sub-paragraph **2.1.3-3(1)** has been amended.  
Sub-paragraph **2.2-3** has been amended.

### **EFFECTIVE DATE AND APPLICATION**

**1.** The effective date of the amendments is 1 July 2021.

# GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

**Guidance for the Classification and Registry of Ships 2021 AMENDMENT NO.1**

Notice No.27 30 June 2021

Resolved by Technical Committee on 27 January 2021

**ClassNK**  
NIPPON KAIJI KYOKAI

AMENDMENT TO THE GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

“Guidance for the classification and registry of ships” has been partly amended as follows:

**Chapter 2 CLASSIFICATION OF SHIPS**

**2.1 Classification**

**2.1.3 Class Notations**

Sub-paragraph -3(1) has been amended as follows.

**3** The notations referred to in **2.1.3-2 of the Regulations for the Classification and Registry of Ships** are affixed to Classification Characters according to the following **(1)** and **(2)**.

(1) Based on the applications received from owners, the notations referred to in **(a)** to **(g)** are affixed to Classification Characters for the following ships based on the application from the owner according to the Guidelines issued separately by the Society or other guidelines deemed appropriate by the Society.

((a) to (e) are omitted.)

(f) Ships which are provided with systems utilising digital technology (smart systems) in accordance with the “Guidelines for Digital Smart Ships”: *Digital Smart Ship (XX)* (abbreviated as *DSS(XX)* in which “XX” refers to the relevant smart system)

(g) Ships which have taken particular cyber security measures in accordance with the “Guidelines for Designing Cyber Security Onboard Ships”: *Cyber Resilience-Guideline* (abbreviated as *CybR-G*)

(~~h~~) (Omitted)

(~~i~~) Other ships deemed necessary by the Society to be affixed with special notation.

**2.2 Maintenance of Class**

Sub-paragraph -3 has been amended as follows.

**3** With respect to the provision of **2.2 of the “Regulations for the Classification and Registry of Ships”**, requirements regarding the notation specified in **2.1.3-2** (excluding the notations referred to in **(a)** and **(b)** of **2.1.3-3(2)**) are not conditions for maintenance of class. However, the maintenance of notation is to be according to the following:

(1) For maintenance of the notations specified in **(1)(a)** to **(eg)** of **2.1.3-3**, compliance with relevant requirements is to be periodically confirmed according to the corresponding guidelines.

(2) For maintenance of the notations specified in **2.1.3-3(1)(~~h~~)**, compliance with relevant requirements is to be periodically confirmed according to **1.3.2-2, Part 2 of the Rules for Marine Pollution Prevention Systems**.

(3) For maintenance of the notations specified in **2.1.3-3(1)(~~g~~)**, compliance with relevant requirements is to be periodically confirmed according to the guidelines deemed appropriate by the Society.

## EFFECTIVE DATE AND APPLICATION

1. The effective date of the amendments is 1 July 2021.