

Subject :

Integration of Various Application Forms
at the Time of Entry into Class

NKTECHNICAL INFORMATION

No. : 394

Date : 28 February 2001

All Shipowners and Shipbuilders concerned

Please be informed that the various application forms to be submitted to the Society at the time application is made to have a ship entered onto the ClassNK register have been integrated into two type of forms as per attached. You are kindly invited to begin using the new forms from 1 April 2001.

Attached please find "How to fill in the forms of *APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES*" and a sample of form of Information on change of the items specified in the *APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES DURING CONSTRUCTION*.

Should you have any questions on this matter, please feel free to contact the Branch Office nearest you or the Classification Department at the Head Office of the Society at Tel. +81-3-5226-2032 or Fax. +81-3-5226-2030 for further information.

Your kind attention to the above is very much appreciated.

- Attachment:
- New forms "*APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES (Rev. Apr. 2001) and their attachments*"
One set (Form 1A, 1A-ATT1, 1A-ATT2, 1A-ATT3, 1A-ATT4-1, 1A-ATT4-2 and 3A)
 - How to fill in the form of *APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES*
 - Sample of form of Information on change of the items specified in *APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES DURING CONSTRUCTION*

ClassNK NIPPON KAIJI KYOKAI
4-7, KIOI-CHO, CHIYODA-KU,
TOKYO 102-8567, JAPAN.

APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES DURING CONSTRUCTION

To: NIPPON KAIJI KYOKAI

Application Document No.:		Application Date:	
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APPLICANT

Name:				Signature and Official Stamp of Applicant:
Address:				
TEL:		FAX:		Name in Block Capitals:
				Position in Company:

We hereby request you to carry out the survey for registration and to issue the certificates described in the attached Form 1A-ATT1. This request is made on the basis that we accept the provisions of *REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS, CONDITIONS OF SERVICE FOR CLASSIFICATION OF SHIPS AND REGISTRATION OF INSTALLATIONS* and *REGULATIONS FOR THE ISSUE OF STATUTORY CERTIFICATES* (as well as the provisions of *REGULATIONS FOR TECHNICAL SERVICES* when requesting technical services) of NIPPON KAIJI KYOKAI. We agree to pay all survey fees and expenses incurred as a result of the above-mentioned survey and issuance of relevant certificate(s), regardless of whether class and/or installations are registered or not.

SHIP INFORMATION Main particulars of hull and machinery are to be filled in using separate Form 1A-ATT2.

Shipbuilder: Name Address	☐ Same as applicant. (If the shipbuilder is the same person as the above applicant, please tick this box without filling in the name and address of the shipbuilder.)	Yard/Hull Number:	
		Date of building contract:	
Prospective Owner Name Address		Date of Keel laying:	
		Date of Launch:	
		Date of Completion:	
Proposed flag:		Port of registry:	
Type of ship:		Estimated gross tonnage:	
Intended area of navigation:	☐ International / ☐ Non-international ☐ Ocean going / ☐ Not ocean going (Please enter specified navigation area) :		

REGISTRATION

Classification during construction	Classification Characters and Notations for which applying:	NS*
	Character of Main Propulsion Machinery:	MNS*
	Descriptive Note(s):	☐ n.s. ☐ n.f. ☐ CoC ☐ IWS ☐ Other:
Registration of installations during construction	☐ Safety Equipment ☐ Radio Installations ☐ Cargo Handling Appliances ☐ Crew Accommodation Arrangements ☐ Marine Pollution Prevention Installations ☐ Preventive Machinery Maintenance Systems ☐ Automatic and Remote Control Systems (☐ MC, ☐ M0, ☐ M0-A, ☐ M0-B, ☐ M0-C, ☐ M0-D) ☐ Navigation Bridge Systems (☐ BRS, ☐ BRS1, ☐ BRS1A) ☐ Integrated Fire Control Systems (☐ IFC-M, ☐ IFC-A, ☐ IFC-AM) ☐ Cargo Refrigerating Installations (☐ RMC, ☐ RMC · CA) ☐ Other:	

Billing Contact (Please fill in if the billing contact is different from the above applicant.)

Name:				Signature and Official Stamp:
Address:				
TEL:		FAX:		Name in Block Capitals:

Attachments: ☐ Form 1A-ATT1 ☐ Form 1A-ATT2 ☐ Form 1A-ATT3 ☐ Form 1A-ATT4-1 ☐ Form 1A-ATT4-2
 Submit later: ☐ Form 1A-ATT1 ☐ Form 1A-ATT2 ☐ Form 1A-ATT3 ☐ Form 1A-ATT4-1 ☐ Form 1A-ATT4-2

Remarks: 1. Please send this form to the nearest ClassNK branch office.
 2. If the items specified in the above is not decided at the time of the application, please fill in the relevant columns as "Undecided".
 3. If the items filled in this form have been changed or if undecided items have been determined, please inform the nearest ClassNK branch office of them.

For NK internal use.	Date received		Receipt No.	
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Attachment 1

Application Document No.	
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Shipbuilder		Yard/Hull Number	
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Issuance of Certificates

International Convention Certificates	☐ Load Line <i>(Please fill in the boxes of the main particulars of load lines using separate Form 1A-ATT2.)</i> ☐ Cargo Ship Safety Construction ☐ Cargo Ship Safety Equipment ☐ Cargo Ship Safety Radio ☐ Passenger Ship Safety ☐ Grain Loading Booklet ☐ Oil Pollution Prevention ☐ Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk ☐ Fitness for the Carriage of Dangerous Chemicals in Bulk ☐ Fitness for the Carriage of Liquefied Gases in Bulk ☐ Fitness for Ship Carrying Dangerous Goods <i>(Please fill in the boxes of the main particulars of dangerous goods using separate Form 1A-ATT3.)</i> ☐ Exemption (☐ Fixed Fire-extinguishing System ☐ Radio Direction-finding Apparatus) ☐ Other (Please specify):	
Tonnage Certificates	☐ International Tonnage ☐ National Tonnage (Applicable rule:) ☐ Suez Canal ☐ PC/UMC Documentation of total volume	
Assignments	☐ Freeboard ☒ Incinerator* ☐ Lift for Crew, Etc.* (*: Applies to Japanese flag vessels only.)	
Cargo Gear Certificate, etc. <i>(Please indicate main particulars of cargo gear on separate Form 1A-ATT4-1.)</i>	☐ Cargo Gear Book ☐ Cargo Gear Certificate ☐ Derrick ☐ Derrick for Union Purchase ☐ Crane ☒ Ramp-way, Lift for Cargo ☒ Loose gear (except rope) ☐ Rope ☐ Other (Please specify):	☐ GN ☐ JP* ☐ PK* ☐ Other*: ☐ GN ☐ JP ☐ PK* ☐ Other*: GN: Form for Non-Japanese Flag vessels JP: Form for Japanese Flag vessels PK: Form for Pakistani service <i>(* These forms are to be prepared by the applicant.)</i>
Certificate for Fitness for BC Code	☐ Appendix A cargoes (☐ with / ☐ without restrictions on moisture content of cargoes) ☐ Appendix C cargoes ☐ Appendix B cargoes <i>(Please list all cargoes. If insufficient space, please continue on separate sheet.)</i>	
Certificate of fitness for national regulations of flag State <i>(Please indicate requirements that apply in space provided.)</i>		
Document of Compliance for a Non-party to a Convention	☐ Cargo Ship Safety Construction ☐ Cargo Ship Safety Equipment ☐ Cargo Ship Safety Radio ☐ Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk ☐ Oil Pollution Prevention ☐ Other (Please specify):	
Certificate of Compliance with MARPOL Annexes not yet in force	☐ Annex IV ☐ Annex VI (Regulation 13)	
Certificate(s) for USCG Requirements	☐ Pollution Prevention ☐ Foreign Flag Gas Carrier ☐ Vapor Emission Control ☐ Other (Please specify):	
Other Certificate(s)	☐ ILO Crew Accommodation ☐ Attestation of Load Lines ☐ Attestation of Deadweight ☐ Certificate of Keel Laying ☐ Other (Please specify):	

Attachment 2

Application Document No.	
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Shipbuilder		Yard/Hull Number	
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Main Particulars of Ship (No.1)

MAIN PARTICULARS OF HULL

Lpp x B x D (meters):	x x	Deadweight:		☐ Coal Carrying
Special Rules to be applied:	☐ Part CS ☐ Part P ☐ Part Q ☐ Part T ☐ Others ()	Fishing vessel ² Type: Restrictions:	Dual class ³ :	
Yard/Hull Number of Sister ship(s) ¹ :				

1. Complete if classification during construction. 2. Complete if ship is Japanese-flag vessel. 3. If dual class, please specify name of other classification society.

MAIN PARTICULARS OF MACHINERY

Main Engine	Number • Type • Model:	. .		
	Maximum Output ¹ • Revolutions per minute:	kW • PS . RPM		
	Manufacturer • Workshop:			
Propeller Shaft	Type:	☐ 1A ☐ 1B ☐ 1C ☐ 2	☐ PSCM	
Propeller	Number • Type • RPM:	. . RPM		
Boiler ☐ Main ☐ Auxiliary	Number • Maximum Working Pressure ¹ :	MPa • kg/cm ²		
	Manufacturer:			
Generator	Total Output:	kVA		

1. Please delete as appropriate. If ship is Japanese-flag vessel, please select SI unit.

MAIN PARTICULARS OF LOAD LINES

Type of Ship:	☐ A ☐ B ☐ B+ ☐ B-60 ☐ B-100	Timber Freeboard:	☐ Yes ☐ No
Intended freeboard (meters):		Multiple Load Line System:	☐ Yes ☐ No

PERMITTED NUMBER OF PERSONS TO BE ACCOMODATED

Maximum number of persons accommodated:	Total No.: (Passenger: Crew: Other:)
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MAIN PARTICULARS OF CARGO REFRIGERATING INSTALLATIONS

(Please complete when requesting to register the refrigerating installations.)

Intended Notation:			
Type of Refrigerant:	Cooling System:	Total Capacity of Cargo Chamber:	

Attachment 3

Application Document No.	
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Shipbuilder		Yard/Hull Number	
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Main Particulars of Ship (No.2)

MAIN PARTICULARS OF CARRIAGE OF DANGEROUS GOODS

Solid Dangerous Goods in Bulk	Where loaded:	☐ Cargo Hold No.: ☐ Other (Please specify):
	Class of Dangerous Goods:	☐ 4.1 ☐ 4.2 ☐ 4.3 ☐ 5.1 ☐ 6.1 ☐ 8 ☐ 9 ☐ Loading restrictions for Specified Cargo ¹ :
Other Dangerous Goods	Where loaded:	☐ Weather Deck ☐ Cargo Hold No.: ☐ Other (Please specify):
	Class of Dangerous Goods:	☐ 1.1-1.6 ☐ 1.4S ☐ 2.1 ☐ 2.2 ☐ 2.3 ☐ 3.1, 3.2 ☐ 3.3 ☐ 4.1 ☐ 4.2 ☒ 4.3 ☐ 5.1 ☐ 5.2 ☐ 6.1 (All) ☐ 6.1 (liquid: flashpoint (°C) ☐ below 23° ☐ between 23 and 61° ☐ over 61° ☐ solid) ☐ 8 (All) ☐ 8 (liquid: flashpoint (°C) ☐ below 23° ☐ between 23 and 61° ☐ over 61° ☐ solid) ☐ 9 ☐ Loading restrictions for Specified Cargo ¹ :

1. Please complete when restrictions apply to loading of specified cargo in order to meet relevant requirements.

Attachment 4-1

Application Document No.	
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Shipbuilder		Yard/Hull Number	
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Main Particulars of Cargo Gear

CARGO GEAR (Derrick/Crane)

Location and description with distinguishing number or mark, if any	Intended safe working load (tons) • allowable minimum angle (degrees) or allowable maximum radius (meters)			
	Load	Angle/Radius	Dual	
			Load	Angle/Radius

LOOSE GEAR (including rope)

Location and description with distinguishing number or mark, if any	Safe working load (tons)	Remarks

UNION PURCHASE Please also complete the separate Form 1A-ATT4-2 entitled "Data sheet for Union Purchase".

Location and description with distinguishing number or mark, if any	Intended safe working load (tons) • allowable minimum angle (degrees) or allowable maximum radius (meters)			
	Safe working load	Maximum fall angle	Swing system	
			Load	Angle/Radius

RAMPS/LIFT for Cargo

Location with distinguishing number or mark, if any	Intended safe working load (tons)	Remarks

Attachment 4-2

Application Document No.	
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Shipbuilder		Yard/Hull Number	
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Datasheet for Union Purchase^{(*1), (*2)}

- Location of Derricks:

Fore / Aft of hatch no. ().
- Distance of lower guy eyes from upper deck^(*3):

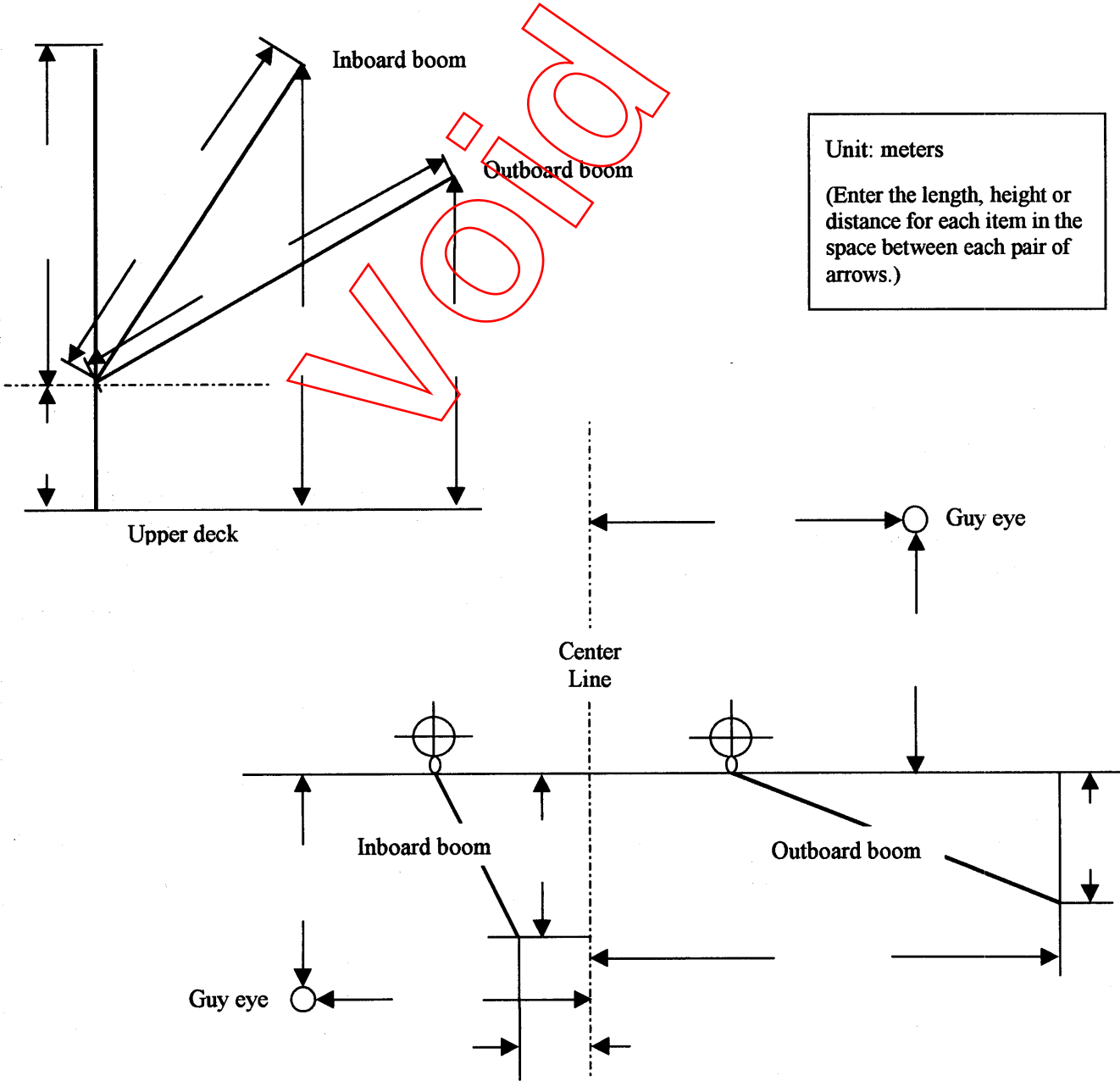
Inboard boom () meters
Outboard boom () meters
- Safe Working load in Union Purchase:

() tons
- Fall angle:

()degrees
- Allowable lifting height from upper deck:

() meters
- Outside diameter and thickness of derrick boom:

Inboard boom ϕ mm $\times$ mm
Outboard boom ϕ mm $\times$ mm



(*1) This form is to be prepared separately for each gang.
(*2) Camber, sheer, trim, and heel are to be neglected.
(*3) Enter the value 0 meters in cases where the guy eye is fixed to the upper deck.

APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES FOR AN EXISTING SHIP

To: NIPPON KAIJI KYOKAI

Application Document No.:		Application Date:	
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APPLICANT

Name:				Signature and Official Stamp of Applicant:
Address:				
TEL:		FAX:		Name in Block Capitals:
				Position in Company:

We hereby request you to carry out the survey for registration and to issue the certificates described in the attached Form 1A-ATT1. This request is made on the basis that we accept the provisions of *REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS*, *CONDITIONS OF SERVICE FOR CLASSIFICATION OF SHIPS AND REGISTRATION OF INSTALLATIONS* and *REGULATIONS FOR THE ISSUE OF STATUTORY CERTIFICATES* (as well as the provisions of *REGULATIONS FOR TECHNICAL SERVICES* when requesting technical services) of NIPPON KAIJI KYOKAI. We agree to pay all survey fees and expenses incurred as a result of the above-mentioned survey and issuance of relevant certificate(s), regardless of whether class and/or installations are registered or not.

SHIP INFORMATION *Main particulars of hull and machinery are to be filled in using separate Form 1A-ATT2.*

Name of Ship			IMO No.	
Shipbuilder: Name Address			Date of Keel Laying:	
Yard/Hull Number:			Date of Launch:	
Flag:		Port of registry:	Date of Completion:	
Type of ship:			Gross tonnage:	
Survey Schedule	From:	To:	At:	
Intended area of navigation:	☐ International / ☐ Non-international ☐ Ocean going / ☐ Not ocean going (Please enter specified navigation area) :			

REGISTRATION

Classification not built under the Society's survey	Classification Characters and Notations for which applying:	NS
	Character of Main Propulsion Machinery:	MNS
	Descriptive Note(s):	☐ n.s. ☐ n.f. ☐ CoC ☐ IWS ☐ Other:
Registration of Installations not built under the Society's survey	☐ Safety Equipment ☐ Cargo Handling Appliances ☐ Marine Pollution Prevention Installations ☐ Automatic and Remote Control Systems (☐ MC, ☐ M0, ☐ M0-A, ☐ M0-B, ☐ M0-C, ☐ M0-D) ☐ Navigation Bridge Systems (☐ BRS, ☐ BRS1, ☐ BRS1A) ☐ Integrated Fire Control Systems (☐ IFC-M, ☐ IFC-A, ☐ IFC-AM) ☐ Cargo Refrigerating Installations (☐ RMC, ☐ RMC · CA) ☐ Other:	
	☐ Radio Installations ☐ Crew Accommodation Arrangements ☐ Preventive Machinery Maintenance Systems	

Billing Contact *(Please fill in if the billing contact is different from the above applicant.)*

Name:				Signature and Official Stamp:
Address:				
TEL:		FAX:		Name in Block Capitals:

Attachments: ☐ Form 1A-ATT1 ☐ Form 1A-ATT2 ☐ Form 1A-ATT3 ☐ Form 1A-ATT4-1 ☐ Form 1A-ATT4-2

Remarks: 1. Please send this form to the nearest ClassNK branch office.
2. Please attach the plans and documents stipulated in the ClassNK *Guidance for the Survey and Construction of Ships*.
3. If the items filled in this form have been changed or if undecided items have been determined, please inform the nearest ClassNK branch office of them.

For NK internal use.	Date received	Receipt No.
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HOW TO COMPLETE THE APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES

● General

“Box ☐”: Please tick the applicable box ☐.

“Application for Classification and Statutory Certificates for an Existing Ship”:

When applying for classification and statutory services for an existing ship, details on the issuance of certificates, main particulars of the ship, and main particulars of the cargo gear are to be indicated on forms 1A-ATT1, 1A-ATT2, 1A-ATT3, 1A-ATT4-1, and 1A-ATT4-2, respectively.

● Forms 1A and 3A

“Official stamp of applicant”: If the applicant is a corporate body, please stamp the application with the official seal of the company/corporation. If the applicant is a private person, then the personal seal of the applicant is acceptable.

“Notations for which applying”: Please specify the intended notation desired. Details on each notation are described in the Society’s *Register of Ships*. If you need further information, please contact the ClassNK branch office nearest you.

“Registration of installations during construction / not built under the Society’s survey”: Details concerning this item are described in the Society’s *Register of Ships*. If you need further information, please contact the ClassNK branch office nearest you.

“Descriptive Note(s)”: If you seeking to be assigned any of the following descriptive notes, please tick the appropriate box.

n.s.: Denotes that side sparring has been omitted under the approval of Nippon Kaiji Kyokai.

n.f.: Denotes that the application of the requirements of the *Rules for Construction for Fire Protection* have been modified for a ship which is not engaged in international voyages and is for restricted service, under the approval of Nippon Kaiji Kyokai.

CoC: Denotes that the approved corrosion control has been applied and the required scantlings of the structural members have been reduced accordingly.

IWS: Denotes that the ship’s construction/installations are in compliance with NK Rules with respect to the conduct of in-water surveys as an acceptable alternative means of survey equivalent to a Docking Survey.

“Official stamp of billing contact”:

The same procedure described under “Official stamp of applicant” above applies.

“Application for Classification and Statutory Certificates for an Existing Ship”:

The following table summarizes all the plans and documents that are to be attached to the *Application for Classification and Statutory Certificates for an Existing Ship* stipulated in the Society’s *Guidance for the Survey and Construction of Ships*.

<i>Hull</i>	<i>Machinery</i>	<i>Others</i>
General Arrangement	Particulars of Machinery	Specification for automatic and remote control of machinery system (where "MC" or "M0" is to be registered.)
Midship Section	Machinery arrangement of machinery space	General arrangement of cargo handling appliances (if cargo handling appliances are to be registered.)
Construction Profile	Boiler and its fittings	Stability Information
Shell Expansion	Piping arrangement in machinery space	Record of Official Sea Trail
Piping Arrangement	Crankshaft and thrust shaft of main engine(s), power transmission systems (reduction gear, etc.), intermediate shafts, propeller shaft, stern tube bearings, stern tube sealing device and propeller	Ship inspection records or copies of survey reports
Rudder	Calculation sheets or measurement data for torsional vibration of propeller shaftings (for reciprocating engine)	Copies of classification certificate, statutory certificates and certificate of flag registry
Stern Frame	Steering gear	Other documents that show the ship's history and particulars (to the extent possible)
Hydrostatic curves (where freeboard assignment is required.)	Wiring diagrams	
Piping arrangement for cargo oil (for oil tanker)		
Lines (where freeboard assignment is required.)		
Loading manual (where required by the <i>Rules</i> .)		

- Form 1A-ATT1

"Exemption Certificate":

Procedures for issuing Exemption Certificates depend on the instructions of the Flag State concerned.

"Other Certificate(s)":

If you are requesting that a certificate be issued regarding any item registered in the Classification Register and Installations Register such as "Certificate of date of build", please so specify in the box marked "Other".

- Form 1A-ATT2

"Multiple Load Line System": If you requesting to be issued multiple Load Line Certificates, please tick the box marked "Yes". There may be cases where the prior approval of the Flag State may be required before the certificates can be issued.

- The End -

SAMPLE

Date:

**INFORMATION ON CHANGE OF THE ITEMS SPECIFIED IN
APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES
DURING CONSTRUCTION**

TO NIPPON KAIJI KYOKAI

APPLICANT Name:

Address:

TEL:

FAX:

Signature and Official Stamp of Applicant:

Name in Block Capitals:

Please be informed that the item(s) of the following ship filled in the APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES DURING CONSTRUCTION dated on has been changed as follows:

<i>Shipbuilder:</i>		<i>Yard/Hull Number:</i>	
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<i>Item</i>	<i>Present</i>	<i>Former</i>

- The End -