

Subject :

Acceptance of applications for Shipboard Audits based on the ISM Code at Branch offices in Japan and Overseas

NKTECHNICAL INFORMATION

No. : 217

Date : 1 July 1997

To Ship Owners and Ship Management Companies

The ISM Code will become mandatory by virtue of the entry into force on 1 July 1998 of SOLAS Chapter IX on Management for the Safe Operation of Ships.

ClassNK had already issued Documents of Compliance (DOCs) for approximately 180 Companies and Safety Management Certificates (SMCs) for approximately 690 ships by the end of June 1997.

According to our estimation, more than 2000 SMCs for ships need to be issued before the end of June 1998. This means that the Society will need to conduct shipboard Initial Audits for approximately 170 ships per month from now until the deadline.

A considerable number of ClassNK surveyors have been fully trained to carry out ISM Code Audits in accordance with the IMO and IACS Guidelines and are now stationed as ISM Auditors in branch offices both in Japan and around the world.

If you have not yet completed the registration process for SMCs, you are requested after 1 August 1997 to submit any audit applications to the nearest Branch office (either in Japan or overseas) to the port of call where the ship concerned is to be assessed. This applies to any applications for Shipboard Initial Audits (except in the case of the Initial audit for the first ship of any company*), and Intermediate, Renewal and Additional Audits, which should be made using the attached new application form and sent together with a copy of the company DOC.

* - Initial application for the first ship of all companies are to continue to be sent to the Ship Management Assessing Division of Head Office in Tokyo.

For Initial, Annual, Renewal and Occasional Audits for Companies, please continue to submit applications to the Ship Management Assessing Division of Head Office in Tokyo as per the previous arrangements.

ClassNK NIPPON KAIJI KYOKAI
4-7, KIOI-CHO, CHIYODA-KU,
TOKYO 102, JAPAN.

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ClassNK has negotiated with Flag Administrations to obtain authorization for the issuance of ISM Code Certification on their behalf, and the Society has so far obtained it from a total of 24 Administrations as listed on the attached sheet.

With regard to the transition of ISM Certification from a voluntary to a mandatory basis, ClassNK will investigate measures to be taken in this regard by the 24 relevant Flag Administrations, and we will release this information to shipowners and managers as soon as it becomes available.

If you need more information about these matters, please do not hesitate to contact us. (ClassNK Ship Management Assessing Division, Head Office, Tokyo, Tel: 81-3-5226-2034, Fax: 81-3-5226-2030)

Attached

- Current status of ClassNK with regard to Authorization by Flag Administrations to issue ISM Code registrations on their behalf
- Application for Shipboard Audits (new type form)

VOID

Current status of ClassNK with regard to Authorization by Flag Administrations to issue ISM Code registrations on their behalf

ClassNK has obtained authorization from the following 24 flag administrations.

Name of Administration	Date of Authorization	Name of Administration	Date of Authorization
Bahamas	8 Nov., 1994	Marshall Islands	1 Jul., 1996
Barbados	2 Dec., 1994	St. Vincent and the Grenadines***	11 Jul., 1996
Vanuatu	24 Jan., 1995	Hong Kong	25 Sep., 1996
Brunei	8 Feb., 1995	Antigua and Barbuda	1 Oct., 1996
Seychelles	1 Jun., 1995	Singapore	30 Oct., 1996
Philippines	11 Oct., 1995	Netherlands*	21 Nov., 1996
Malta	31 Jan., 1996	Netherlands Antilles*	21 Nov., 1996
Cambodia	28 Feb., 1996	Aruba*	21 Nov., 1996
Panama	13 May., 1996	Myanmar	17 Jan., 1997
Cape Verde	1 Jun., 1996	Iceland**	1 Apr., 1997
Bahrain	2 Jun., 1996	Denmark**	15 Apr., 1997
Liberia	1 Jul., 1996	Switzerland	11 Jun., 1997

Note

* ClassNK conducts only Annual audits and Intermediate audits.

** Passenger Ships and PHSCs are to be certified by the Administration.

*** Final certificates are to be issued by the Administration

Date: _____

To NIPPON KAIJI KYOKAI

Application for Shipboard Audit of Safety Management System

We acknowledge the provisions of "RULES FOR ASSESSMENT AND REGISTRATION OF SAFETY MANAGEMENT SYSTEM" and "CONDITIONS OF SERVICE FOR CLASSIFICATION OF SHIPS AND REGISTRATION OF INSTALLATIONS" of NIPPON KAIJI KYOKAI(ClassNK) and request you to carry out audit / to issue certificate for the Shipboard Safety Management System as mentioned below:

Type of Verification	☐ Initial ☐ Intermediate ☐ Renewal ☐ Issuing Interim SMC (the Rules 2.3.4 -1) ☐ Follow up (for _____) ☐ Additional (Note _____)
Ship	Name of ship: _____ Classification & Class No.: _____ IMO Number: _____ Cert. No. of SMC: _____ Flag: _____ Port of Registry: _____ Distinctive Number / letters: _____ Type of Ship: _____ Gross Tonnage: _____
DOC of Company	Cert. No. of DOC: _____ (For details, see an attached copy of <i>DOC</i> .) Date of last Annual Verification: _____ Name of Company: _____ Person in charge _____ Address: _____ Telephone No.: _____ Fax No.: _____
Audit Schedule	Date: _____ Place: _____ Name of Agent: _____ (Telephone No.: _____ Fax No.: _____)

All fees and expenses incurred in the above mentioned verification and issue of certificate are paid by;

Name of Company: _____

Address _____

Telephone No.: _____ Fax No.: _____

Applicant's signature: _____

(_____)

Attached : **a copy of DOC** (requested by ClassNK.)