

**APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES**

**FOR AN EXISTING SHIP**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **To: NIPPON KAIJI KYOKAI** | Application Document No. |       | Application Date |       |

**APPLICANT**

|  |  |  |  |
| --- | --- | --- | --- |
|  NameAddress |               | Signature and/or Official Stamp of Applicant |       |
| Name in Block Capitals |       |
| TEL |       |
| FAX |       |

We hereby request that you carry out the survey for registration and issue the certificates described in the attached Form 3A-1. This request is made on the basis that we accept the provisions of *REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS, CONDITIONS OF SERVICE FOR CLASSIFICATION OF SHIPS AND REGISTRATION OF INSTALLATIONS* and *REGULATIONS FOR THE ISSUE OF STATUTORY CERTIFICATES* (as well as the provisions of *REGULATIONS FOR TECHNICAL SERVICES* when requesting technical services)of *NIPPON KAIJI KYOKAI* and that we allow flag authorities and authorized audit teams on board for the purpose of their assessing NIPPON KAIJI KYOKAI’s compliance with applicable rules, regulations and quality standard. We agree to pay all survey fees and expenses incurred as a result of the above-mentioned survey and issuance of relevant certificate(s), regardless of whether class and/or installations are registered or not.

**SHIP INFORMATION** *※ Main particulars of hull and machinery are to be filled in using separate Form 3A-2.*

|  |  |  |  |
| --- | --- | --- | --- |
| Name of Ship |        | IMO No. |       |
| Shipbuilder NameAddress |             | Date of Contract |       |
|  |  | Date of Keel Laying |       |
|  |  | Date of Launch |       |
| Yard/Hull Number |        | Date of Completion |       |
| Flag |        | Port of Registry |       |
| Previous Flag |        | Previous Class |       |
| Purpose of Ship |        | Gross Tonnage |       |
| Survey Schedule | From      　　　　　　to       | Survey Place |       |
| Shore Archive(1) | [ ] ClassNK / [ ] Other (      ) / [ ] Not Applicable | Other Class(2) |       |
| Navigation Area | [ ] International / [ ] Non-international / [ ] Ocean going / [ ] Non-ocean going (Please specify):       |
| Operating in Polar Waters | [ ] Apply ([ ] Category A / [ ] Category B / [ ] Category C) / [ ] Not Apply |

1. Please enter the name of shore archive (GBS-SCF) for ships subject to SOLAS Chapter II-1 Regulation 3-10 and submit an “Application for ClassNK Archive Center Service” separately if apply.
2. Please enter the name of other class if dual or double class.

**CLASSIFICATION / REGISTRATION OF INSTALLATIONS not built under the Society’s Survey**

|  |  |  |
| --- | --- | --- |
| Classification | Classification Characters and Notations applying for | NS      MNS       |
| Descriptive Note(s) |       |
| Notations for Guideline | [ ]  Environmental Awareness (      ) | [ ]  Exhaust Gas Cleaning System Ready ([ ] EGCSR-G [ ] EGCSR-F) |
| [ ]  High Voltage Shore supply System (HVSS)  | [ ]  Inventory of Hazardous Materials (IHM) |
| [ ]  Mechanical Vibration Awareness (MVA) | [ ]  Noise and Vibration Comfort (NVC∙     ) |
| [ ]  Other Notation (     ) |  |
| Special Description | [ ]  n.s. [ ]  n.f. [ ]  Other (Please specify):       |
| Installations | [ ] Safety Equipment | [ ] Radio Installations | [ ] Marine Pollution Prevention Installations | [ ] Crew Accommodation Arrangements (Applicable only to Japanese flag ships) |
| [ ]  Anti-Fouling Systems ([ ]  AFS [ ]  AFS･C )  | [ ] Ballast Water Management Installations | [ ] Cargo Handling Appliances |
| [ ]  Automatic and Remote Control Systems([ ] MC [ ] M0 [ ] M0・A [ ] M0・B [ ] M0・C [ ] M0・D) | [ ] Preventive Machinery Maintenance Systems |
| [ ]  Cargo Refrigerating Installations ([ ]  RMC [ ]  RMC・CA) | [ ]  Navigation Bridge Systems ([ ]  BRS [ ]  BRS1 [ ]  BRS1A) |
| [ ]  Integrated Fire Control Systems ([ ]  IFC・M [ ]  IFC・A [ ]  IFC・AM) | [ ]  Other (Please specify):       |

Attachments: [ ]  Form 3A-1 [ ]  Form 3A-2 [ ]  Form 3A-3 [ ]  Form 3A-4-1 [ ]  Form 3A-4-2 [ ]  4A

**BILLING CONTACT** *※ Please complete the following only in cases where the billing contact and applicant are different.*

|  |  |  |  |
| --- | --- | --- | --- |
| NameAddress |               | Signature and/or Official Stamp |        |
| Name in Block Capitals |        |
| TEL |       | FAX |        |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| For NK internal use | Receipt Date |       | Receipt No. |        |

Remarks:

1. Please send this form to the nearest ClassNK branch office.

2. Please attach the plans and documents stipulated in the ClassNK *Guidance for the Survey and Construction of Ships*.

3. If any of the information provided in this form changes or if undecided items have been determined, please inform the nearest ClassNK branch office.



|  |  |  |  |
| --- | --- | --- | --- |
| Shipbuilder |        | Yard/Hull Number |        |

**SURVEY AND ISSUANCE OF CERTIFICATES & ATTESTATION**

*※ The following information is not required for Japanese flag ships. Please submit an “APPLICATION FOR ISSUE OF CERTIFICATES (Form 4A)” separately.*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Survey for Statutory Certificates and Issuance of Certificates(1) For Japanese flag ships, NK conducts surveys only.(2) For Japanese flag ships, the Japanese Government conducts surveys and issues certificate(s).(3) The combination forms of SC, SE, and SR Certificates.(4) For Japanese flagged passenger ships, the Japanese Government conducts surveys and issues certificate(s). | Survey | Certificate | Survey | Certificate |
| [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]  | [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]  | Load Line *(Please fill in the boxes of the main particulars of load lines.)*Passenger Ship Safety(2) Cargo Ship Safety ConstructionCargo Ship Safety EquipmentCargo Ship Safety RadioCargo Ship Safety3)Exemption([ ] Fixed Fire-Extinguishing System)Fitness for the Carriage of DangerousChemicals in Bulk (1)Fitness for the Carriage of LiquefiedGases in BulkFitness for Ship Carrying Dangerous goods(1)*(Please fill in the boxes of the main particulars of dangerous goods.)* | [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]  | [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]  | Polar Ship(4)Industrial Personal SafetyOil Pollution Prevention (1)Pollution Prevention Certificate for theCarriage of Noxious Liquid Substances in Bulk (1)Sewage Pollution Prevention (1)Air Pollution Prevention (1)Anti-Fouling SystemBallast Water Management (1)Grain Loading Booklet (2)Energy Efficiency (1)Other (Please specify):       |
| Tonnage Certificates(5) For Japanese flag ships, the Japanese Government conducts measurements and issues certificate(s). | Measurement Certificate[ ] 　 [ ] 　 International Tonnage(5)[ ] 　 [ ] 　 PC/UMS Documentation of total volume(5)[ ] 　 [ ] 　 Suez Canal(5)[ ] 　 [ ] 　 National Tonnage(5) (Applicable rule:       ) |
| Assignments(6)Applicable only to Japanese flag ships. | [ ]  Freeboard [ ]  Incinerator(6) [ ]  Lift for Crew etc. (6)  |
| Cargo Gear Certificate etc.(Please indicate main particulars of cargo gear on separate Form 3A-4-1.)(7) Including Loose gear (except ropes) & Rope(8) These forms are to be prepared by the applicant. | [ ]  Cargo Gear Book[ ]  Cargo Gear Certificate(7)  [ ]  Derrick [ ]  Derrick for Union Purchase [ ]  Crane [ ]  Ramp-way, Lift for Cargo[ ]  Other (Please specify):      | [ ]  GN [ ]  JP(8) [ ]  PK(8) [ ]  Other(8):[ ]  GN [ ]  JP [ ]  PK(8) [ ]  Other(8):GN: Form for NK classed shipsJP: Form for Japanese flag shipsPK: Form for Pakistani service |
| IMSBC CodeFitness Certificate | [ ]  Group A cargoes ([ ]  with / [ ]  without restrictions on moisture content of cargoes)[ ]  Group C cargoes[ ]  Group B cargoes *(Please list all cargoes. If insufficient space, please continue on a separate sheet.)*       |
| Document of Compliancefor a Non-party to aConvention | [ ]  Cargo Ship Safety Construction [ ]  Cargo Ship Safety Equipment[ ]  Cargo Ship Safety Radio [ ]  Oil Pollution Prevention[ ]  Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk[ ]  Other (Please specify):       |
| Certificate of Fitness forNational Regulations ofFlag State*(Please indicate applicable requirements in space provided.)* |       |
| Certificate of Inventory of Hazardous Material (IHM) | Applicable regulation: [ ]  Hong Kong Convention [ ]  EU Regulation |
| Attestation of USCG | [ ]  Oil Pollution Prevention (33CFR Part155) [ ]  Liquid Gas Carrier (46CFR Part154)[ ]  Vapor Emission Control System (46CFR Part39) [ ]  Other (Please specify):       |
| Other Certificate(s) | [ ]  ILO Crew Accommodation [ ]  MLC,2006 Crew Accommodation[ ]  Attestation of Load Lines [ ]  Attestation of Deadweight [ ]  Certificate of Keel Laying[ ]  Other (Please specify):       |



|  |  |  |  |
| --- | --- | --- | --- |
| Shipbuilder |        | Yard/Hull Number |        |

**MAIN PARTICULARS OF HULL**

|  |  |  |  |
| --- | --- | --- | --- |
| Lpp x B x D (m) |       x　　      x　      | Deadweight |       |
| Yard/Hull Number of Sister ship(s)  (1) |       | Coal Carrying | [ ]  Yes　　[ ]  No |
| Special Rules to be Applied | [ ]  Part CS [ ]  Part P [ ]  Part Q [ ]  Part T [ ]  Other (Please specify) :       |
| Fishing Ship (2) | Type |       | Restrictions |       |

*(1) Please complete if sister ships(s) has been already registered in NK.*

*(2) Applicable only to Japanese flag ships.*

**MAIN PARTICULARS OF MACHINERY**

|  |  |  |
| --- | --- | --- |
| Main Engine | Number, Type & Model |       ・       ・       |
| Maximum Output (1) &Revolutions per minute |       kW・PS       ・       RPM |
| Manufacturer Workshop |       |
| Application of Tier III NOx Regulation for Diesel Engines Installed Onboard | [ ]  Applied [ ]  Not Applied |
| Propeller | Number, Type & RPM |       ・       ・       RPM |
| Type of Propeller Shaft, etc. | [ ]  1A [ ]  1B [ ]  1C[ ]  1W [ ]  2 | Preventive Maintenance Systems | [ ]  PSCM[ ]  PSCM-1A |
| Boiler[ ]  Main [ ]  Auxiliary | Number & Maximum Working Pressure(1)  |       ・　　　　     　MPa・kg/cm2 |
| Manufacturer Workshop |       |
| Generator | Total Output |      　 kVA |
| Use of Low-flashpoint Fuel | [ ] Yes ([ ] Natural gas / [ ] Other:       ) [ ] No |
| Exhaust Gas Cleaning System | [ ] Yes [ ] No |

*(1) For Japanese flagged ships, please select a SI unit.*

**MAIN PARTICULARS OF LOAD LINES**

|  |  |  |  |
| --- | --- | --- | --- |
| Type of Ship | [ ]  A　　[ ]  B　　[ ]  B＋ [ ]  B-60　　[ ]  B-100 | Timber Freeboard | [ ]  Yes [ ]  No  |
| Intended Freeboard (m) |       | [ ]  Multiple Load Line System |

**MAXIMUM NUMBER OF PERSONS**

|  |  |
| --- | --- |
| Maximum Number of Persons | Total No.:       (Crew:       Passenger:       Other:      Industrial Personnel(IP) (1):       Special Personnel(SP) (1):       ) |

(1) *Please enter only if Rules for the survey and construction of steel ships part O “Work-Ships” applies.*

**MAIN PARTICULARS OF CARGO REFRIGERATING INSTALLATIONS**

|  |  |
| --- | --- |
| Intended Notation |       |
| Type of Refrigerant |       | Cooling System |       | Total Capacity of Cargo Chamber |   m3  |

*※Please complete when requesting to register refrigerating installations.*



|  |  |  |  |
| --- | --- | --- | --- |
| Shipbuilder |        | Yard/Hull Number |        |

**MAIN PARTICULARS OF CARRIAGE OF DANGEROUS GOODS**

*※ For Japanese flag ships, NK conducts survey only.*

|  |  |  |
| --- | --- | --- |
| Solid Dangerous Goods in Bulk | Where loaded | [ ]  Cargo Hold No.:      [ ]  Other (Please specify):      |
| Class ofDangerous Goods(1)*Please complete when restrictions apply to loading of specified cargo in order to meet relevant requirements*. | [ ]  4.1　　[ ]  4.2　　[ ]  4.3　　[ ]  5.1　　[ ]  6.1　　[ ]  8　　[ ]  9[ ]  Loading restrictions for Specified Cargo (1):       |
| OtherDangerousGoods | Where loaded | [ ]  Weather Deck [ ]  Cargo Hold No.:      [ ]  Other (Please specify):       |
| Class ofDangerous Goods(1)*Please complete when restrictions apply to loading of specified cargo in order to meet relevant requirements*. | [ ]  1.1-1.6 [ ]  1.4S [ ]  2.1 [ ]  2.2[ ]  2.3 ([ ]  (flammable) [ ] (non-flammable))[ ]  3 (all)[ ]  3 (liquid: flashpoint (°C)[ ]  below 23° [ ]  between 23° and 60°)[ ]  4.1 [ ]  4.2 [ ]  4.3([ ]  liquid [ ]  solid) [ ]  5.1 [ ]  5.2[ ]  6.1(All)[ ]  6.1(liquid: flashpoint (°C) [ ]  below 23° [ ]  between 23° and 60° [ ]  over 60° [ ]  solid[ ]  8(All)[ ]  8(liquid: flashpoint (°C) [ ]  below 23° [ ]  between 23° and 60° [ ]  over 60° [ ]  solid[ ]  9[ ]  Loading restrictions for Specified Cargo (1):       |

|  |  |  |  |
| --- | --- | --- | --- |
| Shipbuilder |        | Yard/Hull Number |        |



**MAIN PARTICULARS OF CARGO GEAR**

**CARGO GEAR (DERRICK/CRANE)**

|  |  |
| --- | --- |
| Location and description with distinguishing number or mark, if any | Intended safe working load (tons) - allowable minimum angle (degrees) or allowable maximum radius (meters) |
| Load | Angle/Radius | Dual |
| Load | Angle/Radius |
|        |       |       |       |       |
|        |       |       |       |       |
|        |       |       |       |       |
|        |       |       |       |       |
|        |       |       |       |       |
|        |       |       |       |       |
|        |       |       |       |       |
|        |       |       |       |       |
|        |       |       |       |       |
|        |       |       |       |       |
|        |       |       |       |       |
|        |       |       |       |       |

**UNION PURCHASE**　*※Please also complete the separate Form 3A-4-2.*

|  |  |
| --- | --- |
| Location and description with distinguishing number or mark, if any | Intended safe working load (tons) - allowable minimum angle (degrees) or allowable maximum radius (meters) |
| Safe working load | Maximum fall angle | Swing system |
| Load | Angle/Radius |
|       |       |       |       |       |
|       |       |       |       |       |
|       |       |       |       |       |
|       |       |       |       |       |
|       |       |       |       |       |

**RAMPS/LIFT FOR CARGO**

|  |  |  |
| --- | --- | --- |
| Location with distinguishing number or mark, if any | Intended safe working load (tons) | Remarks |
|       |       |        |
|       |       |        |
|       |       |        |



|  |  |  |  |
| --- | --- | --- | --- |
| Shipbuilder |        | Yard/Hull Number |        |

**Datasheet for Union Purchase** (1) (2)

・Location of Derricks: Fore / Aft of hatch no. (       ).

・Distance of lower guy eyes from upper deck (3): Inboard boom (       ) meters

 Outboard boom (       ) meters

・Safe Working load in Union Purchase: (       ) tons

・Fall angle: (       ) degrees

・Allowable lifting height from upper deck: (       ) meters

・Outside diameter and thickness of derrick boom: Inboard boom      φmm ×      mm

Outboard boom      φmm ×      mm

Inboard boom

Guy eye

Unit: meters

(Enter the length, height or distance for each item in the space between each pair of arrows.)

Outboard boom

Inboard boom

Outboard boom

Center Line

Upper deck

Guy eye

Remarks:

(1)This form is to be prepared separately for each gang.

(2)Camber, sheer, trim, and heel are to be ignored.

(3)Enter the value 0 meters in cases where the guy eye is fixed to the upper deck.